

SUBJECT AGREEMENT IS HEREBY MODIFIED AS FOLLOWS:

1. The purpose of this modification is to provide clarifications and certain additions to the Performance Work Statement (PWS), Deliverables Schedule and to incorporate the new Quality Assurance Surveillance Plan (QASP). Where minimal editorial changes were made, no individual capture of the revision is included in this cover sheet. Only substantive changes made to the Cooperative Agreement (CA) are indicated below. Narrative revisions were made to clearly define the intent of the deliverable and/or capture new requirements.
2. QASP is incorporated as supplemental attachment to the CA, as agreed upon in negotiations. The QASP metrics and KPI percentages have been updated for the re-negotiated CA and do not mirror the previous QASP used in the CA which expired in December 2018.
3. On page 20, section C3.2.6 Prime Contractor was moved to page 22 as new section 3.3.1.5, to align with QASP KPI. Subsequently, section 3.2.7 QWE became section 3.2.6 and section 3.3.1.5 became section 3.3.1.6.
4. On page 23, in section C3.2.7.11, a placeholder language was added for the Distribution Program, as follows: “The Commission and NIB will form a working group for the purpose of developing a formalized Distributor Program within 6 months of the execution of this agreement. The resulting Distributor Program policy and/or guide developed by the Distributor Program Working Group shall become effective within 18 months of the establishment of that working group.”
5. On page 24, section C3.3.3, Procurement List-related Operations was moved to page 20 as section 3.2.7, to align with QASP KPI. Subsequently, section 3.4.2 Strategic Communications became section 3.4.1.
6. On page 27, in section, C3.3.1.3, language inadvertently deleted on October 20th was reinserted back into the CA, as follows: “When the NPA’s report of corrective action is received by the CNA, the CNA shall close out the review by submitting a supplemental post-visit trip Corrective Action Compliance Visit Report electronically via PLIMS within ten (10) business days of receipt of documentation from the NPA attesting to completion of the corrective actions. The supplemental post-visit trip report shall include a report of corrective action(s) taken by the NPA; the PLIMS transaction number of the initial NPA RRAV post-visit trip report; the name of the NPA visited; name of reviewer from the CNA; and date of the initial review.”
7. On page 57, under Deliverables Schedule, items were rearranged to match PWS Reference order.

****END MODIFICATION****